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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

PURCELL

Serial No.: 10/034,872

Filed: December 28, 2001

For: "METHOD AND APPARATUS FOR  
INFORMING INVESTORS FOR JUDGING,  
SELECTING, AND HOLDING INVESTMENT  
PORTFOLIO PLANS THAT OFFER OPTIMAL  
PROSPECTS FOR THEIR LONG-TERM  
FINANCIAL PLANS, GOALS, AND  
PRIORITIES"



) Art Unit: 3692  
)  
) Examiner: Clement B. Graham  
)

APPLICANT'S STATEMENT ON  
SUBSTANCE OF INTERVIEW

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Following is the applicant's statement of the substance of the applicant-requested telephone interview held July 23, 2008.

Respectfully submitted,

W. Richard Purcell, Jr.

810 S. Lashley  
Boulder, CO 80305  
303-494-0399

**Applicant's Statement of Substance  
of Applicant-Requested Interview**

On June 30, 2008, applicant Purcell submitted a request for a telephone interview regarding his application 10/034,872, requesting participation of a supervisor and requesting discussion of deficiencies stated in his prior submissions regarding the prior art cited as the basis for rejection of his independent claims.

On July 23 a telephone interview was held between examiner Graham and the applicant.

The interview did not meet the applicant's requests regarding either participants or topic discussed.

No supervisor participated.

The applicant asked for discussion of the prior art cited as the basis for the claim rejections, stating his view that the cited prior art had been misrepresented, and that its deficiencies stated in the applicant's previously submitted Requests for Reconsideration had not been considered. The examiner stated that the applicant's concerns about the cited prior art did not matter because there were problems with the applicant's claims, and kept the discussion focused on step one in the applicant's claim 1.

No agreement was reached.

Respectfully submitted,



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W. Richard Purcell, Jr.

Date: 8-4-2008